## SUMMARY REPORT OF INVESTIGATION

## I. EXECUTIVE SUMMARY

Date of Incident:	January 28, 2018
Time of Incident:	9:28 a.m.
Location of Incident:	
Date of COPA Notification:	January 29, 2018
Time of COPA Notification:	2:21 p.m.
incident, accused officers, and used excessive force against activate his Body Worn Camera (  Based on the totality of even	n January 28, 2018. Mr. reported that on the day of
<b>EXONERATED</b> for Allegation allegations of excessive force by	Officer COPA finds these <b>NOT SUSTAINED</b> . However, of <b>SUSTAINED</b> for <b>Allegation #4</b> against Officer for
EXONERATED for Allegation allegations of excessive force by COPA concludes with a finding failure to complete a TRR.	Officer COPA finds these <b>NOT SUSTAINED</b> . However, of <b>SUSTAINED</b> for <b>Allegation #4</b> against Officer for
EXONERATED for Allegation allegations of excessive force by COPA concludes with a finding failure to complete a TRR.  II. INVOLVED PARTI	Officer COPA finds these NOT SUSTAINED. However, of SUSTAINED for Allegation #4 against Officer for Sustained for Employee ID# Date of Appointment:

## III. ALLEGATIONS

Officer	Allegation	Finding / Recommendation
Officer	1. It is alleged the accused arrested without justification on January 28, 2018 in violation of Rules 1 and 2.	EXONERATED
Officer	1. It is alleged the accused arrested without justification on January 28, 2018 in violation of Rules 1 and 2.	EXONERATED
	2. It is alleged while in the back of a police squadrol the accused used excessive force against in that he punched him in the face, choked him, and poked him in the eye in violation of Rules 2, 8, 9.	NOT SUSTAINED
	3. It is alleged the accused pulled down the stairs while handcuffed in violation of Rules 2 and 8.	NOT SUSTAINED
	4. It is alleged the accused failed to complete a TRR regarding the arrest of in violation of Rules 5 and 10.	SUSTAINED / Violation Noted
	5. It is alleged the accused failed to activate his Body Worn Camera during the arrest of in violation of Rules 5 and 10.	EXONERATED
	6. It is alleged the accused failed to wear his assigned Body Worn Camera device in violation of Rules 5, 10, and 12.	EXONERATED

## IV. APPLICABLE RULES AND LAWS

## Rules

Rule 1- Violation of any law or ordinance.

Rule 2- Any action or conduct which impeded the Department's efforts to achieve its policy and goals or brings discredit upon the Department.

Rule 5- Failure to perform any duty.

Rule 8- Disrespect or maltreatment of any person, while on or off duty.

Rule 9- Engaging in any unjustified verbal or physical altercation while on or off duty.

Rule 10- Inattentive to duty.

Rule 12- Failure to wear the uniform as prescribed.

## **General and Special Orders**

General Order 03-02-01- Force Options

General Order 03-02-02- Incidents Requiring the Completion of a Tactical Response Report

Special Order 03-14- Body Worn Cameras

Special Order 04-20 – Responding to Incidents Involving Persons in Need of Mental Health Treatment

### V. INVESTIGATION

#### a. Interviews

On January 31, 2018, witness, regarding the alleged incident on January 28, 2018 at Chicago, IL. Ms. resides on the second floor of the multi-unit building where the incident took place. She related that on the day of incident she was watching television in her apartment when she heard bickering in the hallway. She stated that she did not pay much attention because this was typical behavior for her neighbors. However, when she decided to look out of her window, she observed two officers escorting a handcuffed male to a police vehicle.

She stated that at first glance the offender appeared to be cooperative, but after arriving to the police vehicle, he became aggressive. She explained that she observed the offender push one of the officers with his chest. At that time, one of the officers attempted to hold the offender in place by pinning him down on the seat. During the encounter, she observed the offender with his legs outside the vehicle and complaining that the handcuffs injured his wrists. Ms. followed up by stating, she did not observe any inappropriate contact from the officers and believed their attempts were to control the situation and calm the offender. It should be noted that Ms. never exited her apartment.

On January 31, 2	2018, COPA also	conducted the statement of witne	ess, who
is the boyfriend of Ms.	Mr.	shares the residence with Ms.	on the 2 <sup>nd</sup> floor

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<sup>&</sup>lt;sup>1</sup> Att. 10

<sup>&</sup>lt;sup>2</sup> Att. 13

Chicago, IL. He related that on the date of incident, he overheard commotion coming from his neighbor's apartment. Like Ms. he thought nothing of it, since there had been frequent issues for the past year. As he proceeded to look out of the window, he observed his neighbor's boyfriend being escorted by two officers. He described the offender as uncooperative and giving the officers a hard time. Although he heard the offender yelling as though he was being beaten, Mr. asserted that he had a clear view from the 2 <sup>nd</sup> floor and nothing of the sort occurred. On the contrary, he described the officers to be "overly civil and incredibly patient" when dealing with what appeared to be a difficult person.
In a statement conducted by COPA on July 26, 2018, witness,  that on the morning of January 28, 2018 she initiated a 911 emergency call to her residence regarding a domestic related issue with her boyfriend,  She related that after advising that she was leaving to visit her daughter, he became angry and proceeded to direct verbal threats at her. Ms.
Ms. stated, she was standing outside when police arrived. Upon their arrival, she escorted them into her residence where was in a bedroom. Almost instantly, the officers forcefully grabbed and dragged him from a back room and into the kitchen. From there, was told to get on his hands and knees. She asserted was never given the opportunity to speak and her only request was to have him removed from the residence. When asked if she ever informed the officers that she wanted to press charges, Ms. stated "No." In fact, she related she did not know why was arrested, but she confirmed she signed a piece of paper presented to her by the police (now known to be the criminal complaint).
After was handcuffed and escorted out of the residence, Ms. followed behind. At that time, she observed the officers drag down the stairs. Ms. stated that while she was standing on the sidewalk near the vehicle, she observed one of the officers jump and choke him with both hands around his neck. She related that legs remained outside of the vehicle, while he was lying on his back, as the officer's upper body hovered over him. At some point during the encounter she heard yell, "stop choking me" and the officer yelling back "stop biting me and stop kicking me." confirmed the only injuries she observed were red handcuff marks on wrists. She related that brother and sister-in-law were on scene and may have obtained photos or videos regarding the incident.
On June 12, 2018, complainant, provided a statement to COPA regarding the alleged incident on January 28, 2018 at Chicago, IL. He stated that on the date of incident he had an encounter with Chicago Police members (two male white officers)

<sup>&</sup>lt;sup>3</sup> Att. 41

<sup>&</sup>lt;sup>4</sup> Att. 41 at 5:33

<sup>&</sup>lt;sup>5</sup> Id. at 8:20

<sup>&</sup>lt;sup>6</sup> Att. 41 at 16:00

<sup>&</sup>lt;sup>7</sup> Id. at 12:40

<sup>&</sup>lt;sup>8</sup> Id. at 26:55-27:40

<sup>&</sup>lt;sup>9</sup> Att. 36

after his girlfriend, Ms. reported he verbally threatened her. However, he insisted he made no such threats and only request was to have him removed from the residence. He explained that when the officers entered the residence he was unable to identify them due to one of them wearing civilian clothing. In further related that he was walking toward the front door and heading out of the residence, when they entered. At this time, he was stopped by the officers and informed that he would be arrested. Confirmed that he was asked several times by the officers to turn around to be handcuffed, but admitted he refused because he felt as if he had done nothing to constitute an arrest. In insisted that made false reports against him. He further related that, during conversations, one officer threatened to tase him if he did not comply. Reported that his response was, "If you tase me, I'm going to be the last person you tase." Subsequently, he was not tased and became compliant, allowing the officers to place him in handcuffs and be led out of the residence. Explained that upon exiting the residence and reaching the porch stairs, the plain clothed officer jerked his arm causing him to miss three to four stairs.

He stated that once they arrived at the police vehicle, it was difficult for him to get into the back of the vehicle because he was handcuffed behind his back. As such, such indicated Officer attempted to force him inside of the vehicle in an uncomfortable manner. that during the interaction, he stiffened his body. 14 Subsequently, he was able to sit on the plastic seating of the vehicle but slipped onto the floor after Officer jumped on top of him. stated that although his upper to mid body was inside the vehicle, his legs remained outside. He described that it was during this time that Officer used excessive force against him. He reported that while he was lying on the floor in the back of the police vehicle, Officer placed his knee in his chest, punched him in his face approximately 15 times, and poked him in the eye as he cried out for help. 15 suggested that in an effort to cover up his actions, Officer began to yell out that was kicking him. However, stated he was not capable of kicking due to his position. Stated eventually the other officer intervened and calmed down. eventually got off the floor and properly sat in the seat. Assisting officers arrived, and he was transported to the police station where he was charged with domestic battery against Ms.

reported that after the encounter, he had visible injuries to his eye and described discoloration (black eye) and swelling. In addition, he reported bleeding from his nose and bruises, handprints, and scratches to his neck. He also suggested that, while alone in lock-up, he suffered a seizure. When probed about his medical history, explained he has epileptic seizures usually lasting approximately 30 seconds to 2 minutes. <sup>16</sup> However, he confirmed there were no witnesses to the occurrence. He added he never disclosed his medical history because he was not asked. After his release, went to Trinity Hospital where he received a diagnosis of a

<sup>&</sup>lt;sup>10</sup> Att. 36 at 6:15

<sup>&</sup>lt;sup>11</sup> Id. at 7:27-9:05

<sup>&</sup>lt;sup>12</sup> Id. at 10:10

<sup>13</sup> Id. at 10:40

<sup>14</sup> Id. at 13:10

<sup>&</sup>lt;sup>15</sup> Id. at 13:55-16:00 (also at 20:40)

<sup>&</sup>lt;sup>16</sup> Id. at 30:20-30:50

ruptured cornea, back strain, bruises and swelling to his back.<sup>17</sup> He also related he had previously received physical therapy for a pre-existing back issues and his rotator cuff. He suggested the incident aggravated his previous medical issues. 18 As a result, he stated he has constant back injuries and discharge from the eye the officer struck him in. When asked about pre-existing vision denied having any. However, he confirmed he was photographed wearing prescription glasses on his State of Illinois Identification card he submitted to COPA.<sup>19</sup> After the interview, provided COPA with photos he stored on a USB drive documenting his alleged injuries and a video. He stated the photos were taken the day after incident. It should be noted that related that he has been seen by an optometrist and a physical therapist at other hospitals in other counties since this incident. In a statement conducted by COPA on September 14, 2018, Accused Officer, <sup>20</sup> reported that on January 28, 2018 at Chicago, IL, he and Officer responded to a call regarding a domestic disturbance. It should be noted, prior to the interview Officer reviewed body worn camera (BWC) video footage from his assigned device on the date of incident. Throughout the interview, Officer referred to his BWC and seemed to have no independent knowledge of the incident. His account of the incident was generally based upon the reviewed footage. Upon arrival of the scene, Officer encountered the victim, Ms. outside. She related to him that she was threatened by her boyfriend, whom she wanted arrested. He added that while on scene, she made several requests for his arrest. Shortly thereafter, escorted him and Officer into her residence where they encountered He described as immediately irate and semi-cooperative.<sup>21</sup> However, they were able to handcuff and place under arrest. While escorting him to the vehicle, remained physically agitated and made it difficult to assist him down the stairs. As a result, he slipped down a few stairs. After arriving to the police vehicle, seemed to uphold the same demeanor with a heightened physical resistance to being arrested. However, Officer could not give an accurate account as to how fell onto the floor of the vehicle. He held his same position stating he could not provide any independent observations of the account. In a statement conducted by COPA on October 19, 2018, Accused Field Training Officer, <sup>22</sup> provided a statement regarding the alleged incident on January 28, 2018 at Chicago, IL. He related that on the date of incident, he and Officer arrived on scene in separate vehicles in response to a domestic disturbance. Upon arrival, Officer joined Officer who was standing outside speaking with Ms. During the

<sup>&</sup>lt;sup>17</sup> Att. 36 at 32:25

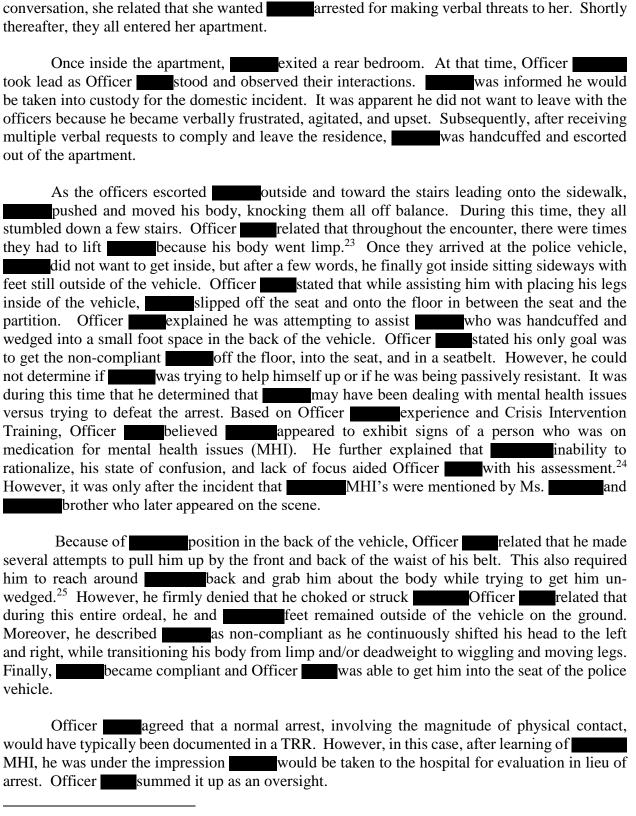
<sup>&</sup>lt;sup>18</sup> Id. At 35:23

<sup>&</sup>lt;sup>19</sup> Id. At 36:05

<sup>&</sup>lt;sup>20</sup> Att. 27

<sup>&</sup>lt;sup>21</sup> Id. at 11:55

<sup>&</sup>lt;sup>22</sup> Att. 32



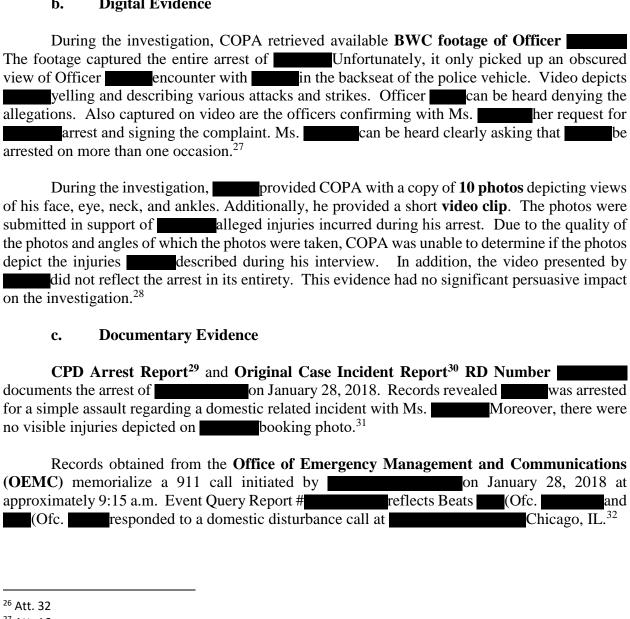
<sup>&</sup>lt;sup>23</sup> Id. at 17:20-18:40

<sup>&</sup>lt;sup>24</sup> Att. 32 at 14:33

<sup>&</sup>lt;sup>25</sup> Id. at 19:15

On the topic of BWC, Officer reported that after a year of being assigned as a Review Officer, he had recently returned to patrol as an FTO. Therefore, he had yet to be issued or assigned a BWC on the date of this incident. As such, he stated that after contacting CPD's Information Services Department he was able to confirm that he was assigned a BWC for the first time on February 15, 2018, after this incident occurred. <sup>26</sup>

#### b. **Digital Evidence**



<sup>&</sup>lt;sup>27</sup> Att. 16

<sup>&</sup>lt;sup>28</sup> Att. 43

<sup>&</sup>lt;sup>29</sup> Att. 4

<sup>&</sup>lt;sup>30</sup> Att. 6

<sup>&</sup>lt;sup>31</sup> Att. 5

<sup>&</sup>lt;sup>32</sup> Att. 7

A request submitted to the District revealed documents related to equipment assignments for the 2<sup>nd</sup> Watch on January 28, 2018. Based on the **Personal Equipment Log**, both officers were assigned a Taser and Radio. However, COPA was unable to verify from the log if both officers were assigned BWC since the column relating to that information remained blank.<sup>33</sup> COPA also contacted CPD's Information Services Department. According to their **Equipment Detail** report, the first time Officer was assigned a BWC device was on February 15, 2018.<sup>34</sup>

Medical records retrieved for from **Advocate Trinity Hospital** documents an emergency room visit on January 30, 2018. Records revealed has a history of mental health related issues. Upon arrival, complained of rib/chest pains on his right side and left knee pain/swelling. As a result, X-rays of his chest and left leg were performed. Images of chest appeared normal and presented no apparent rib fracture deformity. Likewise, there was no evidence of a fracture or misalignments to his left leg. Records also suggest, complained of bilateral eye pain, redness, and discharge, as well as wrist pain. Although diagnosed with corneal abrasions to both eyes (scratch to the eye), presented no bruising, swelling or facial discoloration or abnormalities were noted at triage. It should be noted, was treated and released within 5 hours and prescribed Ibuprofen and eye drops. 35

### VI. LEGAL STANDARD

For each Allegation COPA must make one of the following findings:

- 1. Sustained where it is determined the allegation is supported by a preponderance of the evidence;
- 2. Not Sustained where it is determined there is insufficient evidence to prove the allegations by a preponderance of the evidence;
- 3. Unfounded where it is determined by clear and convincing evidence that an allegation is false or not factual; or
- 4. Exonerated where it is determined by clear and convincing evidence that the conduct described in the allegation occurred, but it is lawful and proper.

A preponderance of evidence can be described as evidence indicating that it is more likely than not that the conduct occurred and violated Department policy. See Avery v. State Farm Mutual Automobile Insurance Co., 216 Ill. 2d 100, 191 (2005), (a proposition is proved by a preponderance of the evidence when it has found to be more probably true than not). If the evidence gathered in an investigation establishes that it is more likely that the misconduct occurred, even if by a narrow margin, then the preponderance of the evidence standard is met.

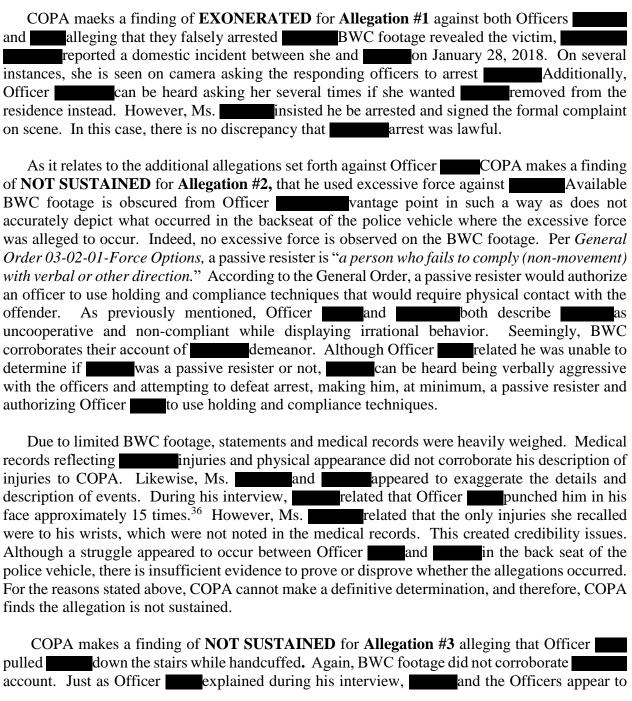
<sup>34</sup> Att. 45

<sup>&</sup>lt;sup>33</sup> Att. 46

<sup>&</sup>lt;sup>35</sup> Att. 44 at Pg. 3-10

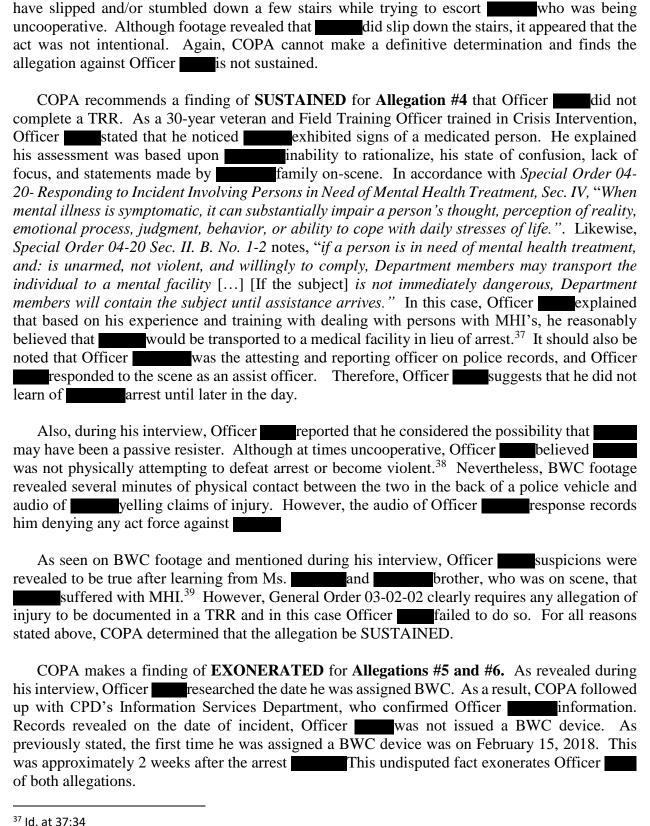
Clear and convincing evidence is a higher standard than a preponderance of the evidence but lower than the "beyond-a-reasonable doubt" standard required to convict a person of a criminal offense. See e.g., People v. Coan, 2016 IL App (2d) 151036 (2016). Clear and Convincing can be defined as a "degree of proof, which, considering all the evidence in the case, produces the firm and abiding belief that it is highly probable that the proposition . . . is true." Id. at ¶ 28.

### VII. ANALYSIS



<sup>&</sup>lt;sup>36</sup> Att. 36 at 20:36

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<sup>37</sup> Id. at 37:34

<sup>&</sup>lt;sup>38</sup> Att. 32 at 16:00-17:10

<sup>39</sup> Att. 32 at 26:10

## VIII. RECOMMENDED DISCIPLINE FOR SUSTAINED ALLEGATIONS

## a. Officer

## i. Complimentary and Disciplinary History

Complimentary: 1 Democratic National Convention Award, 1
Deployment Operations Center Award, 1 Military Service Award,
13 Emblem of Recognition – physical fitness Awards, 3 Attendance
Recognition Awards, 1 Presidential Election Deployment Award
2008, 1 2004 Crime Reduction Ribbon, 84 Honorable Mentions, 2
Department Commendations, 3 Complimentary Letters, 1
Honorable Mention Ribbon Award, 1 Life Saving Award, 1 NATO
Summit Service Award, 2009 Crime Reduction Award

## 2. Disciplinary: None

COPA has taken into account both the complimentary and prior disciplinary history of the officer.

## ii. Recommended Penalty, by Allegation

## 1. Allegation No. 4: Violation Noted

Officer admitted to COPA that he failed to prepare a TRR regarding the arrest of However, he definitively relayed that he believed would be escorted to a mental health facility in lieu of arrest. It was clear through his interview that he is an experienced, well trained, and very knowledgeable about dealing with persons with mental health issues. Unfortunately, in this case he made a lapse in judgment. COPA finds Officer acknowledgment of responsibility to be a strong factor in mitigation. For the reasons stated above, COPA recommends a Violation Noted.

### IX. CONCLUSION

Based on the analysis set forth above, COPA makes the following findings:

Officer	Allegation	Finding	/
		Recommendation	
Officer	1. It is alleged the accused arrested without justification on January 28, 2018 in violation of Rules 1 and 2.	EXONERATED	
		EXONERATED	

Officer	1. It is alleged the accused arrested without justification on January 28, 2018 in violation of Rules 1 and 2.	
	2. It is alleged while in the back of a police squadrol the accused used excessive force against in that he punched him in the face, choked him, and poked him in the eye in violation of Rules 2, 8, 9.	NOT SUSTAINED
	3. It is alleged the accused pulled down the stairs while handcuffed in violation of Rules 2 and 8.	NOT SUSTAINED
	4. It is alleged the accused failed to complete a TRR regarding the arrest of in violation of Rules 5 and 10.	SUSTAINED / Violation Noted
	5. It is alleged the accused failed to activate his Body Worn Camera during the arrest of violation of Rules 5 and 10.	EXONERATED
	6. It is alleged the accused failed to wear his assigned Body Worn Camera device in violation of Rules 5, 10, and 12.	EXONERATED

# Approved:



# Appendix A

Assigned Investigative Staff

Squad#:	9
Investigator:	
<b>Supervising Investigator:</b>	
<b>Deputy Chief Administrator:</b>	