SUMMARY REPORT OF INVESTIGATION¹

I. EXECUTIVE SUMMARY

II. INVOLVED PARTIE	S	
alleged that on August 20, 2014, Officer arrested him without probable cause; improperly handcuffed him causing injury; struck him in his right arm; and struck him in the back his head causing injury to his right ear.		
Time of COPA Notification:	11:33 a.m.	
Date of COPA Notification:	September 29, 2016	
Location of Incident:		
Time of Incident:	11:49 p.m.	
Date of Incident:	August 20, 2014	

Involved Officer #1:	Jr., star # employee ID# Date of Appointment: , 1992, Police Officer, Unit DOB: , 1967, Male, White
Individual #1:	DOB: , 1970, Male, Black
Individual #2:	DOB: 1968, Male, Black

III. ALLEGATIONS

Officer	Allegation	Finding
Officer	1. It is alleged that on August 20, 2014, at Street, at approximately 23:49, accused Officer improperly applied handcuffs.	Unfounded
	2. It is alleged that on August 20, 2014, at Street, at approximately 23:49, accused Officer struck on the head causing injury to his right ear.	Not Sustained

¹ On September 15, 2017, the Civilian Office of Police Accountability (COPA) replaced the Independent Police Review Authority (IPRA) as the civilian oversight agency of the Chicago Police Department. Therefore, this investigation, which began under IPRA, was transferred to COPA on September 15, 2017, and the recommendations set forth herein are the recommendations of COPA.

1

3. It is alleged that on August 20, 2014, at
Street, at approximately 23:49, accused Officer struck on his right arm.

IV. APPLICABLE RULES AND LAWS

Rules

- 1. Rule 6: Disobedience of an order or directive, whether written or oral.
- 2. Rule 8: Disrespect to or maltreatment of any person, while on or off duty.
- 3. Rule 9: Engaging in any unjustified verbal or physical altercation with any person.

General Orders

- 1. General Order G03-02, "Use of Force Guidelines," Effective October 1, 2002 through October 15, 2017
- 2. General Order, G03-02-01, "The Use of Force Model," Effective May 16, 2012 through October 15, 2017
- 3. General Order G03-02-02, "Force Options," Effective May 16, 2012 through March 10, 2015

V. INVESTIGATION²

According to the **Initiation Report** a civil suit, 16 C where that on August 20, 2014, at 23:49 hours, Officer scause to arrest him, and that while handcuffed Officer used excessive force by striking him to the back of his head causing a laceration to his ear.

a. Interviews³

interview with IPRA stated that on August 20, 2014, his car was legally parked on street in front of for about ten to fifteen minutes. brother, and a friend, had walked across the street to use the restroom. A white male approached car with a long flashlight, asking who owned the car. thought the male was admiring his car, which was a classic eighties car, and might ask to buy it. responded that the car was his. The male told to "put your fucking hands on the car." the male why because, at this point, the male had not identified himself as a police officer and

² COPA conducted a thorough and complete investigation. The following is a summary of the material evidence gathered and relied upon in our analysis.

³ Officer and Lieutenant ("Lt. retired from the Chicago Police Department before COPA could interview them. Officer ("Officer was called to active military duty and took a leave of absence from the Chicago Police Department before COPA could interview him. *See* Attachments 58-60.

did not know the male was a police officer. The male showed a gold badge. ⁴ complied, but continued to question why.
handcuffs on his right wrist. The handcuffs were so tight that it caused an abrasion to his wrist.
instinctively pul <u>led away</u> due to the discomfort. Officer told that he would
loosen the handcuffs, so moved his left hand behind his back to be handcuffed.
felt a blow to the back of his head by an unknown hard object. ⁵ As a result of the force,
fell onto his car and his right ear was partially separated from his head.
he fell, an officer grabbed the handcuffs and pulled his arm in an upward motion. An unknown
officer, had his hand around neck and held down. was struck a second
time, on his right arm, after he was in handcuffs. believed another officer arrived and
picked him up by the back of the shirt and moved him off the car. As
up, walked up asking what happened. Officer partner said, "you should have
stayed where the fuck you at." was arrested for being with
were transported to the police station, separately.
told the two officers that transported him to the station that "this was bogus," they
saw what Officer did to him, and he was bleeding from the ear.
officer seemed compassionate and asked the other officer what they should do. The other officer

saw what Officer did to him, and he was bleeding from the ear. stated that one officer seemed compassionate and asked the other officer what they should do. The other officer said, "fuck him, he's just talking shit." was asked if he needed medical attention and taken to St. Joseph's Hospital. For personal reasons, refused to be treated at St. Joseph's. was later taken to Illinois Masonic Hospital. At Illinois Masonic, stated that he told the doctor that the police caused his injuries. explained that his ear lobe was about a half inch severed from his head, and that that doctor said that a portion could not be stitched and would have to heal on its own.

At the police station, stated that the lockup keeper told him he had been arrested for driving under the influence ("DUI"). The reported that he did drink that evening, but his friend was driving because had a suspended license. Additionally, explained he drank a couple of shots of vodka, there was no open alcohol containers in the car, and he did not have a forty ounce can of beer. Explained that the court threw out the DUI charge because Officer did not ask to take a sobriety test.

learned at court that he had been charged with aggravated battery to a police officer. stated that he did not struggle with any officer, not once, and he did not believe he was charged with resisting arrest. Additionally, stated that Officer testified at court that did not come toward him and that did not touch him.

believed there were approximately four to five officers on scene, and that the incident lasted about thirty to forty minutes. believed that saw get hit and moved away, so he would not get involved. was not arrested and did not go to the police station.

⁴ Later, identified the white male as Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of this interview. It is described Officer of the remainder of the remainder of this interview. It is described Officer of the remainder of the r

stated that he never saw Officer pull out <u>a weapon</u>.

could not provide a description of the partner. did not get a good look at the partner because he approached from behind.

was upset that he received 30 months of probation and 300 hours of community service because was grateful that the situation was not worse.⁷ officers lied, but On May 2, 2016, filed a **Complaint** against Officers indicated that officers arrested or seized him without probable cause, used excessive force, conspired to violate one or more of his civil rights, and caused bodily harm. documented that Officer testified that he never touched and that iniuries were sustained when fell getting into a squad car. However, alleges that, while he was in handcuffs, Officer hit him towards the rear of his head, causing injury to ear that required stitches.⁸ In his Amended Complaint against the Chicago Police Department, 18th District, Officer and Officers 1-10, filed on August 19, 2016, stated that on or about August 21, 2014, on or near Street, crossed the street to find a garbage can to throw away items. When returned to in handcuffs and surrounded by several plain-clothed he saw hi<u>s brother,</u> officers. After spoke to arresting officer, an unidentified officer placed under arrest without explanation. and were placed into separate police vehicles and transported to the Eighteenth District Police Station.⁹ In an interview with COPA, on June 25, 2018, Officer ("Officer stated, on August 20, 2014, she was in uniform working with field training Officer stated that she was never at the arrest location, officer, Sergeant Street. Officer did not recognize or and Officer could not recall interacting with either individual. Officer believed she was called was breath tech certified and proficient in processing DUI to this incident because Sgt. arrests. Officer did not have any independent recollection of the incident. 10 In an **interview with COPA**, on June 26, 2018, **Officer** ("Officer stated, on August 20, 2014, he was in uniform working on Street when he heard, When Officer over the radio, Sgt. call for assistance at arrived, he in a bladed stance, fists clenched and cursing. The observed standing in front of Sgt. two were located in the triangle shaped park area located between and referred to as Sgt. and Officer attempted to handcuff when Officer the arrived to assist. Initially, had his arms stiff in front of his body but began flailing his arms as officers attempted to handcuff him. Officer grabbed right arm and eventually got it behind his back. Sgt. was standing behind in the middle and Officer was to the left. Eventually, was handcuffed by either Officer Several officers had arrived on scene and was taken to a vehicle for transport. Officer or to which vehicle he was taken. Officer did not recall who escorted not observe Sgt. strike or observe any injury to Officer went back

to the police station but did not have any further interaction with

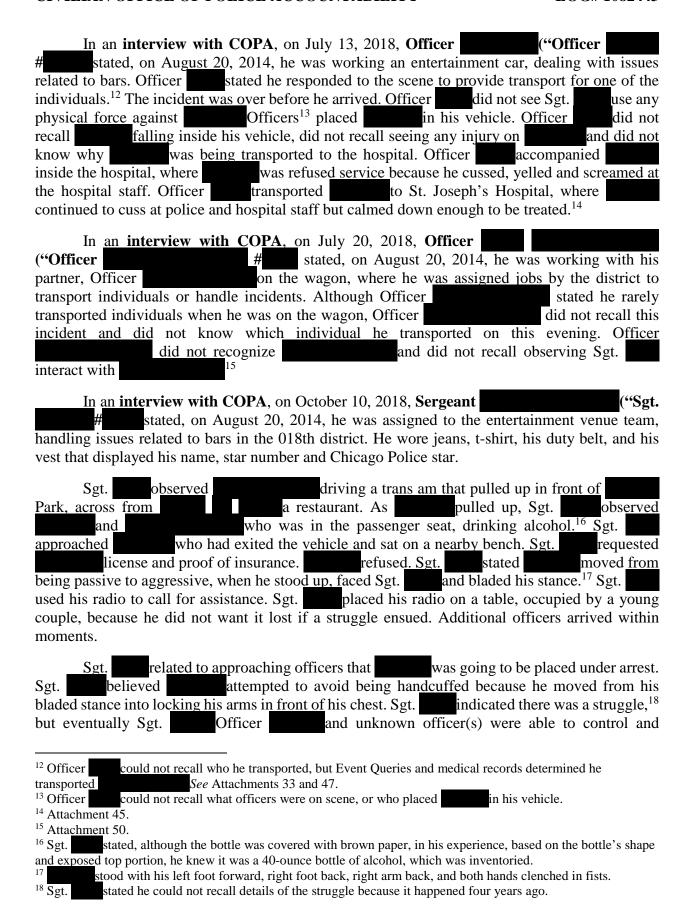
⁷ Attachment 22.

⁸ Attachment 18.

⁹ Attachment 27.

¹⁰ Attachment 41.

¹¹ Attachment 44.



could not recall who handcuffed handcuff Sgt. Sgt. stated that he and Officer escorted to the police vehicle, a Tahoe, for transport. dragging his feet and leaned back, while walking to the police vehicle and refused to get inside. Officers opened the door and attempted to place into driver's side back seat. with his right buttocks on the seat, started to flop and limp causing him to spin and slide off the plastic seat, where he fell between the seat and partition and cut his right ear. Officers stood up outside of the police vehicle and waited for a wagon to transport him. Sgt. not observe any injury to on scene. Sgt. indicated that he had been on the job long enough to ascertain what injury may need immediate medical attention, but Sgt. never observed any injury on Sgt. stated he saw placed in a holding room at the station but did not have any further contact with him. An unidentified officer told Sgt. at the 018th District Station that was injured. Sgt. and Lt. decided should be transported to the hospital.

Sgt. denied all allegations. Sgt. did not believe he was the officer who applied the handcuffs to Additionally, he stated based on the demographics, he is size 5 feet 4 inches and is 6 feet 4 inches, he did not believe it was possible for him to have struck in the head causing injury to his ear. Additionally, in his closing statement, Sgt. stated the Department of Law informed him that the civil case related to this incident was dismissed.

b. Digital Evidence

provided **photographs**¹⁹ depicting injury to his ear and wrist. However, there is no indication as to the date, time, or who took the photographs.²⁰



Figure 1. Photograph of wrist (left) and his ear (right).

c. Physical Evidence

According to the **medical records**, in the early morning of August 21, 2014, was taken to Presence Saint Joseph Hospital for evaluation of his right ear laceration, which occurred while being placed into police vehicle. According to medical notes,

_

stated that the photographs were taken after the stitches were removed. *See* Attachment 22.

²⁰ Attachments 24-26.

and appeared to be intoxicated.	allowed staff to clean and	l dress his wound, but he refused
a CT Scan of his head. According	ng to CFD and the CT technician,	became aggressive and
yelled at the technician. ²¹		

Later in the day, was taken to Advocate Illinois Masonic Medical Center, where he was diagnosed with a laceration to the ear and multiple contusions. According to medical notes, complained of a laceration to his ear from being "grabbed" the night before and wrist pain from wearing handcuffs during an altercation with police. The hospital repaired the laceration to the ear and ordered radiographs for left and right hands. No fracture or dislocation was found.²²

d. Documentary Evidence

According to **Department Reports** documented under RD #HX , on August 20, 2014, Sgt. observed a car pull up to the curb with in the driver's seat, the car engine on, the key in the ignition, and drank from a 40 oz. bottle of Budweiser beer. When exited the vehicle, Sgt. approached and ordered to produce a driver's license and proof of insurance. refused and attempted to walk away. who was seated in the front passenger seat, exited the car with a white plastic bag and placed the bag behind a concrete construction barrier on State Street. Officer recovered the bag, which contained a partially consumed 40 oz. bottle of Budweiser beer, inventoried under # was placed into custody for obstruction.

he was being placed under arrest for driving under the influence Officers told responded, "Fuck you, come here!"²⁴ and set himself in a fighting stance with in apprehension of receiving a battery. When officers, including balled up fists, placing Sgt. to affect an arrest, locked his arms together in front assisting units, approached of his body, stiffened his body, and, began to pull his arms away. was placed into custody but continued to resist by refusing to walk or get into the police vehicle. was partially inside the vehicle, when he began flailing his body, causing him to fall between the seat and the divider inside the car, and receive injury to his right ear. and were transported to the Eighteenth District for processing.

At the station, a name check revealed that previous DUI. The hospital refused to submit to sobriety tests. The hospital refused to treat previous because he became belligerent with hospital staff and refused to cooperate. The hospital was transported to St. Joseph's Hospital. Was charged with driving under the influence ("DUI") of alcohol, driving on a suspended license, transport or carrying alcohol or liquor, aggravated assault of a police officer, operating a motor vehicle without insurance, aggravated DUI, and resisting or obstructing a peace officer. The driving due to a previous due to a previ

Sergeant completed both a **Tactical Response Report** and an **Officer Battery Report** documenting that did not follow verbal direction, stiffened, pulled away,

7

_

²¹ Attachment 34.

²² Attachments 33.

²³ Attachment 62.

²⁴ Attachment 10, page 3.

²⁵ Attachments 5-10.

locked his arm, made a fighting stance, and balled up his fists presenting an imminent threat of battery. Officer responded with member presence, verbal commands, and performed an emergency take down / emergency handcuffing. Officer reported no injury.²⁶

VI. ANALYSIS

alleged Sgt. arrested him without probable cause. A peace officer may arrest a person when she has reasonable grounds to believe that the person has committed an offense.²⁷ In Illinois, an individual shall not drive or be in physical control of a vehicle while under the influence of alcohol.²⁸ Additionally, an individual shall not drive or physically control a motor vehicle without a valid license²⁹ or insurance.³⁰ Sgt. stated he <u>observed</u> pulled up to park on the street, Sgt. observed drinking alcohol from ttle. Sgt. approached who had exited the vehicle at this time, and a 40 ounce bottle. Sgt. driver's license and proof of insurance. admitted he had a couple of admitted his license was suspended shots of vodka before his encounter with Sgt. at the time of this incident but denied driving the vehicle, indicating his friend driving that night. There are no witness statements or video to corroborate the incident as described However, it was noted in or Sgt. medical records that appeared intoxicated.

Furthermore, it is a misdemeanor offense for a person, who knowingly resists or obstructs the performance of a known peace officer of any authorized act within his official capacity. Sgt. stated refused to cooperate with his request for license and insurance and moved into a bladed stance. Sgt. stated he called for assistance and told approaching officers he was placing under arrest, and resisted being handcuffed. Officer confirmed Sgt. account that resisted being handcuffed. In his interview with COPA, admitted, after Sgt. showed his police badge, resisted Sgt. attempt to place him into handcuffs. Arrest Report indicated he was charged with resisting a peace officer.

Based on the foregoing, Sgt. had probable cause to arrest allegation was clearly exonerated it was not necessary that Sgt. address it in any way, thus COPA did not find it appropriate to serve the allegation to Sgt.

COPA's finding is **Exonerated** for Allegation #1 that Sgt. improperly applied handcuffs. An officer is prohibited from mistreating any individual.³³ Here, it is clear experienced injury to his wrist. The question is whether Sgt. improperly applied his handcuffs.

²⁶ Attachments 13, 14.

²⁷ 725 ILCS 5/107-2(1)(c).

²⁸ 625 ILCS 5/11-501(a)(2).

²⁹ 625 ILCS 5/6-303(a).

³⁰ 625 ILCS 5/3-707(a).

³¹ 720 ILCS 5/31-1(a).

³² According to Department reports and Sgt. and Officer of Officer was a witness to retired from the Department before COPA could interview him.

According to the was injured when Sgt. placed handcuffs too tight on his right wrist. admitted he reacted to being handcuffed by pulling away but when Sgt. said he would loosen the handcuffs, submitted his other hand to be cuffed. made no additional complaints after being handcuffed. According to Sgt. and Officer initially had both of his hands in front of his body and attempted to evade handcuffing. It took two to three officers to place in handcuffs. Sgt. TRR indicated he performed emergency handcuffing because pulled away. medical records showed, within 24 hours of his arrest, his wrists were examined due to complaints of pain from wearing handcuffs during an altercation with police.
Handcuffs were designed to restrict movement, not to be comfortable. he struggled after being handcuffed, he did not complain after Sgt. said he would loosen the handcuffs, and he submitted to further handcuffing. photo depicts a possible injury from handcuffs consistent with resisting. The medical report also acknowledges had bruising to his wrists. Based on the foregoing, a preponderance of the evidence establishes, it is more likely that wrist injury was a result of a struggle while wearing the handcuffs than Sgt. improperly applying the handcuffs. Therefore, this allegation is Exonerated .
COPA's finding is Not Sustained for Allegation #2 that Sgt. struck on the head causing injury to his right ear. An officer will use the reasonable force necessary, based on the totality of the circumstances, to ensure control of an individual. An officer must escalate or de-escalate the amount of force which is reasonably necessary to overcome the subject's resistance and to gain control over the subject. ³⁴ Medical records confirmed experienced an injury to his right ear. The question is whether Sgt.
According to he sustained injury to his right ear after Sgt. hit him with an object. Sgt. admitted, he and other officers, struggled to place in handcuffs, but denied hitting him. Sgt. indicated injury was a result of own actions that caused him to fall inside a police vehicle. None of the officers interviewed recalled falling inside the police vehicle. None of the officers interviewed observed Sgt. Strike None of the officers interviewed recall observing any injury on
Considering there is no video evidence or witness statements to corroborate the incident as described by or Sgt. There is insufficient evidence to determine, by a preponderance of the evidence, whether Sgt. Struck on the head causing injury to his right ear. Therefore, this allegation is Not Sustained .
COPA's finding is Not Sustained for Allegation #3 that Sgt. struck on his right arm. An officer will use the reasonable force necessary, based on the totality of the circumstances, to ensure control of an individual. An officer must escalate or de-escalate the amount of force which is reasonably necessary to overcome the subject's resistance and to gain control over the subject. ³⁶
³⁴ General Order G03-02-01. ³⁵ According to Department reports and Sgt. and Officer was a witness to actions in resisting arrest. Officer retired from the Department before COPA could interview him. ³⁶ General Order G03-02-01.

As discussed above,	alleged Sgt.	struck him in the	ar <u>m, and Sg</u> t.	denied
the allegation. Officer	confirmed there was	a struggle when placi	ng int	o handcuffs,
but he did not observe Sgt.	strike	None of the officers ³⁷	interviewed o	bserved Sgt.
strike Consid	dering there is no vide	eo evidence or witnes	s statements to	corroborate
the incident as described by	or Sgt.	there is insufficient	evidence to de	etermine, by
a preponderance of the evi-	dence, whether Sgt.	struck	on hi	s right arm.
Therefore, this allegation is	Not Sustained.			

VII. CONCLUSION

Based on the analysis set forth above, COPA makes the following findings:

Officer	Allegation	Finding
Officer	1. It is alleged that on August 20, 2014, at Street, at approximately 23:49, accused Officer improperly applied handcuffs. 2. It is alleged that on August 20, 2014, at Street, at approximately 23:49, accused Officer struck on the head causing injury to	Unfounded Not Sustained
	his right ear. 3. It is alleged that on August 20, 2014, at Street, at approximately 23:49, accused Officer struck on his right arm.	Not Sustained

Approved:



Deputy Chief Administrator – Chief Investigator

³⁷ According to Department reports and Sgt. and Officer of Officer was a witness to actions in resisting arrest. Officer retired from the Department before COPA could interview him.

Appendix A

Assigned Investigative Staff

Squad#:	Six
Investigator:	
Supervising Investigator:	
Deputy Chief Administrator:	